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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|----|------------------------|--------------------------------------|
| | | Application Number | 09/483,277 |
| | | Filing Date | January 13, 2000 |
| | | First Named Inventor | Ronald A. KATZ RECEIVED |
| | | Group Art Unit | 2643 SEP 09 2002 |
| | | Examiner Name | S. Woo |
| Total Number of Pages in This Submission | 24 | Attorney Docket Number | Technology Center 2600 6646-114N7 |

ENCLOSURES (check all that apply)

| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Remarks |
| PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX, X and XI; copies of PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII and IX from U.S. Application Serial No. 08/306,456; Return postcard | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---------------------------------------|
| Firm or Individual name | Reena Kuyper, Registration No. 33,830 |
| Signature | |
| Date | August 30, 2002 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **August 30, 2002**

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|-----------------------|--------------|--|------|-----------------|
| Typed or printed name | Reena Kuyper | | Date | August 30, 2002 |
| Signature | | | | |

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PTO/SB/17 (11-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/483,277 |
| Filing Date | January 13, 2000 |
| First Named Inventor | Ronald A. KATZ |
| Examiner Name | Stella Woo |
| Group Art Unit | 2643 |
| Attorney Docket No. | 6646-114N7 |

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METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

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| Deposit Account Number | 50-1636 |
| Deposit Account Name | A2D, L.P. |

The Commissioner is authorized to: (check all that apply)

-
- Charge fee(s) indicated below
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- Charge any additional fee(s) during the pendency of this application
-
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- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | | |
| 101 740 | 201 370 | Utility filing fee | |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$ 0.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims Fee from below | Fee Paid |
|--------------|--------------------|--------------------|-----------------------------|----------|
| | | | -20** = | |
| | | | - 3** = | |
| | | | | = 0.00 |

| Large Entity | Small Entity | Fee Description |
|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | |
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity | Small Entity

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description | Fee Paid |
|---------------|----------|---------------|--|-----------------|----------|
| 105 130 | 205 | 65 | Surcharge - late filing fee or oath | | |
| 127 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| 139 130 | 139 | 130 | Non-English specification | | |
| 147 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | |
| 112 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 110 | 215 | 55 | Extension for reply within first month | | |
| 116 400 | 216 | 200 | Extension for reply within second month | | |
| 117 920 | 217 | 460 | Extension for reply within third month | | |
| 118 1,440 | 218 | 720 | Extension for reply within fourth month | | |
| 128 1,960 | 228 | 980 | Extension for reply within fifth month | | |
| 119 320 | 219 | 160 | Notice of Appeal | | |
| 120 320 | 220 | 160 | Filing a brief in support of an appeal | | |
| 121 280 | 221 | 140 | Request for oral hearing | | |
| 138 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 140 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 141 1,280 | 241 | 640 | Petition to revive - unintentional | | |
| 142 1,280 | 242 | 640 | Utility issue fee (or reissue) | | |
| 143 460 | 243 | 230 | Design issue fee | | |
| 144 620 | 244 | 310 | Plant issue fee | | |
| 122 130 | 122 | 130 | Petitions to the Commissioner | | |
| 123 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | |
| 126 180 | 126 | 180 | Submission of Information Disclosure Stmt | 180.00 | |
| 581 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| 146 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 149 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 179 740 | 279 | 370 | Request for Continued Examination (RCE) | | |
| 169 900 | 169 | 900 | Request for expedited examination of a design application | | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|--------------|-----------------------------------|--------|-----------|-----------------|
| Name (Print/Type) | Reena Kuyper | Registration No. (Attorney/Agent) | 33,830 | Telephone | (310) 247-8191 |
| Signature | | | | Date | August 30, 2002 |

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D.J
#25 9-15-02
Patent
6646-1144V
IDS/RK
[Handwritten signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
Ronald A. KATZ) Group Art Unit: 2643
Serial No.: 09/483,277) Examiner: Woo, S.
Filed: January 13, 2000)
For: VOICE-DATA TELEPHONIC)
INTERFACE CONTROL SYSTEM)
)

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

Commissioner for Patents
Washington, D.C. 20231

09/06/2002 MBIZUNES 00000136 09483277
01 FC:126
180.00 QP

Sir:

Applicant is submitting copies of Forms PTO-1449 (SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, and IX and one other received by the U.S. Patent and Trademark Office on November 13, 1998) citing prior art that was considered by the same Examiner (as evidenced by the Examiner's initials) in parent application Serial No. 08/306,456 (now U.S. Patent No. 6,335,965). Applicant is also submitting substantially identical Forms PTO-1449 for the Examiner to initial with respect to the present application.

CERTIFICATE OF MAILING
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

August 30, 2002
Date of Deposit

Reena Kuyper
Reena Kuyper

Since submitting these Forms PTO-1449 with respect to parent Serial No. 08/306,456, other information or references had come to Applicant's attention (cited in a related application Serial No. 08/482,218) that may be of interest. Applicant respectfully notes that such information is not more relevant than the cumulative art of record before the U.S. Patent and Trademark Office. Nonetheless, Applicant respectfully submits this information again for the Examiner's independent consideration. An additional Form PTO-1449 that is captioned Supplemental X cites materials brought to Applicant's attention by a company named Vanguard Group, Inc. Applicant has also learned of a Brigham Young University (hereafter "BYU") BYU reference, likely, a thesis found in the BYU library, or possibly the BYU student registration system, that may be of background interest. Applicant was not provided with a copy of this reference and therefore cannot provide it to the U.S. Patent and Trademark Office. Supplement X also cites an article entitled "*100 Cool Call Center Things*," which describes when specific aspects of call center technology were introduced.

Also, Applicant submits an additional Form PTO-1449, captioned Supplemental XI, which cites patents recently cited by Examiner, in Applicant's related Application Serial No. 09/861,377. The undersigned is providing copies of references cited in Supplemental X. It is assumed that the Examiner can obtain copies of the patents cited in Supplemental XI on-line.

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list references should be placed in this file to make it available for future access by others.

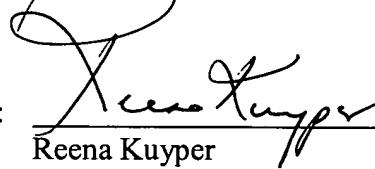
The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission of these documents by Applicant should not be construed as an admission that any such patent, publication or other

information referred to in these documents is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such.

The filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. A check in the amount of \$180.00 to cover the fees designated by 1.17(p) is included. The Examiner is hereby authorized to charge any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. **50-1636**.

Dated: August 30, 2002
9220 Sunset Blvd., Suite 315
Los Angeles, California 90069
(213) 489-1600

Respectfully submitted,
By: 
Reena Kuyper
Reg. No. 33,830

FORM PTO-1449

SET I

INFORMATION DISCLOSURE
CITATION IN AN APPLICATION

Docket No. (optional)

6646-114N7

Applicant

Ronald A. Katz

Filing Date

January 13, 2000

Application No.

09/483,277

Group Art Unit

2643

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|------------|------------------|-------|----------|----------------------------|
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| | 4,071,698 | 01/31/1978 | Barger Jr. et al | ----- | ----- | ----- |
| | 4,302,810 | 11/24/1981 | Bouricius et al | ----- | ----- | ----- |
| | 4,549,047 | 10/22/1985 | Brian et al | ----- | ----- | ----- |
| | 4,585,906 | 04/29/1986 | Matthews et al | ----- | ----- | ----- |
| | 4,763,191 | 08/09/1988 | Gordon et al | ----- | ----- | ----- |
| | 4,785,408 | 11/15/1988 | Britton et al | ----- | ----- | ----- |
| | 4,792,968 | 12/20/1988 | Katz | ----- | ----- | ----- |
| | 4,845,739 | 07/04/1989 | Katz | ----- | ----- | ----- |
| | 4,852,154 | 07/25/1989 | Lewis et al | ----- | ----- | ----- |
| | 4,866,756 | 09/12/1989 | Crane et al | ----- | ----- | ----- |
| | 4,996,705 | 02/26/1991 | Entenmann et al | ----- | ----- | ----- |
| | 5,014,298 | 05/07/1991 | Katz | ----- | ----- | ----- |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|-----------------|------------|------------------------|-------|----------|--------------------|
| | WO 87/00375 | 01/15/1987 | PCT (Int. Application) | ----- | ----- | ----- |
| | 1,162,336 | 02/14/1984 | Canada | ----- | ----- | ----- |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
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| | Lexis Search Results (Great American Potato-Chip giveaway/Raisin Bran Game/Giants Baseball Trivia -- Dial Info): "In The Chips" AdWeek, July 22, 1985 |
| | "San-Fran-Police-League", Business Wire, Aug. 2, 1985 |
| | "Similar Campaigns", DM News, Dec. 15, 1985 |
| | "Phone Offers Action At Push Of Button", Advertising Age, Feb. 6, 1986 |
| | Boies, Stephen J., "A Computer Based Audio Communication System", Computer Sciences Department, Thomas J. Watson Research Center, Yorktown Heights, New York, USA, pp. 701-704 - (Article) (Undated) |

| EXAMINER | DATE CONSIDERED |
|---|-----------------|
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | |

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SET I

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CITATION IN AN APPLICATION

SEP 04 2002

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Applicant

Ronald A. Katz

Filing Date

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Application No.

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Group Art Unit

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FOREIGN PATENT DOCUMENTS

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|--|-----------------|------|---------|-------|----------|--------------------|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|---|
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| | "Proposed Agreement Between National Enterprises Board (N.E.B.) and Delphi", Jan. 30. 1979 |
| | Voysey, Hedley, "Nexos wins rights to comms engine", <u>Computing</u> , Sept. 6, ??, Vol. 7, no. 36 - (Article) |
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| | Advertisements (Dial Giants Baseball Trivia Game): <u>San Francisco Chronicle</u> , July 3, 1984 |
| | Curtis, Cathy, "976 numbers let you dial-a-whatever", <u>San Francisco Business Journal</u> , Nov. 26, 1984 - (Article) |
| | Ferrell, Jane, "Three little numbers for instant information", <u>San Francisco Chronicle</u> , Aug. 15, 1984 - (Article) |
| | "Dallas Telephone Call-In Game Uses Computer Voice Interface", Sept. 24, 1984 - (Press Release) |
| | Rivest, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems", <u>Communications of the ACM</u> , Feb. 1978, Vol. 21, No. 2, pp. 120-126 - (Article) |
| | Finnigan, Paul F, "Audiotex: The telephone as data-access equipment", <u>Data Communications</u> , 1987, pp. 155-161 (Article) |
| | Ozawa, Y., et al., "Voice Response System and Its Applications", <u>Hitachi Review</u> , Dec. 1979, Vol. 28, No. 6, pp. 301-305 - (Article) |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
| | |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Applicant

Ronald A. Katz

Filing Date

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Group Art Unit

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Technology Center 2600

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FOREIGN PATENT DOCUMENTS

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|--|-----------------|------|---------|-------|----------|--------------------|
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| Advertisement: Cuervo Gold Beach Chair, VoiceMail Int'l, '83 |
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| "1,000,000 Shares Common Stock" <u>VoiceMail International, Inc.</u> , Jan 10, 1984 - (Public Offering Summary) |

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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | |

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SET I

INFORMATION DISCLOSURE
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Docket No. (optional)

6646-114N7

Applicant

Ronald A. Katz

Filing Date

January 13, 2000

Application No.

09/483,277

Group Art Unit

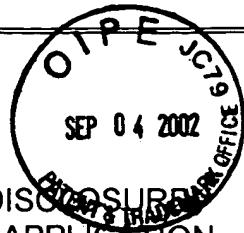
2643

| U.S. PATENT DOCUMENTS | | | | | | |
|--|---|------|---------|-------|----------|----------------------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
| | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
| | Levinson, S.E., et al., "A Conversational-Mode Airline Information and Reservation System Using Speech Input and Output", <u>The Bell System Technical Journal</u> , Jan. 1980, Vol. 59, No. 1, pp. 119-137 | | | | | |
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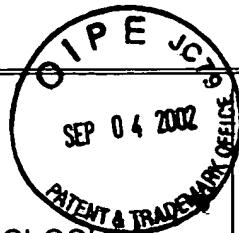
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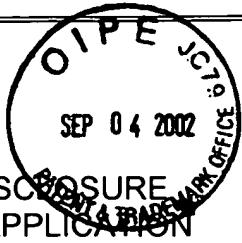
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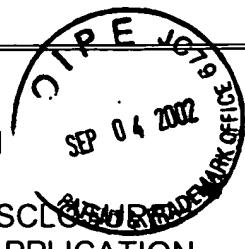
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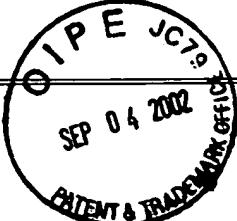
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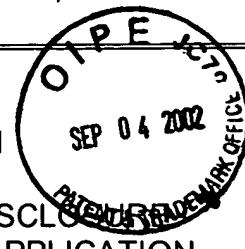
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Docket No. (optional)
6646-114N7
Applicant
Ronald A. Katz
Filing Date
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Application No.
09/483,277
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2643

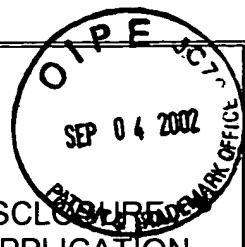
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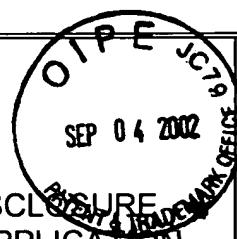
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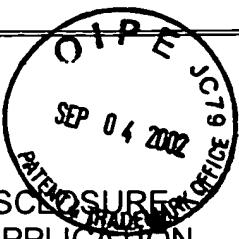
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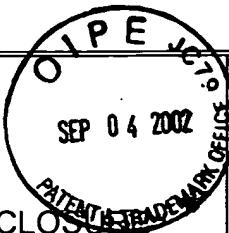
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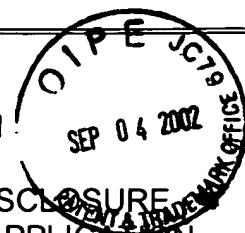
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6646-114N7

Applicant

Ronald A. Katz

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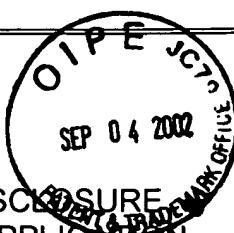
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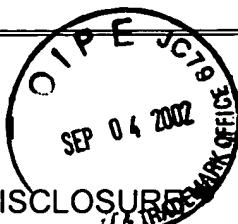
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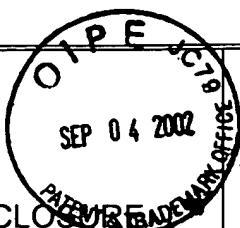
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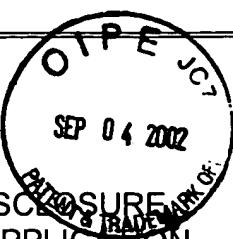
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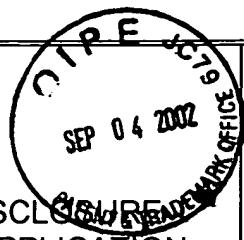
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SUPPLEMENTAL I

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6646-114N7

Applicant

Ronald A. Katz

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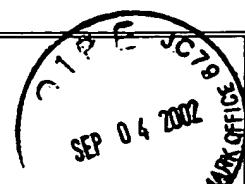
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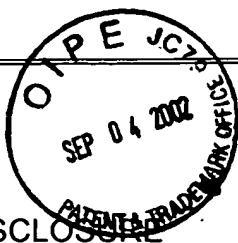
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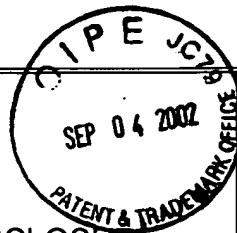
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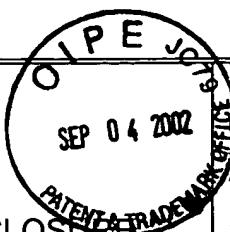
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SUPPLEMENTAL II

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3646-114N7

Applicant

Ronald A. Katz

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2046-114N7

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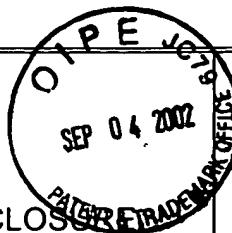
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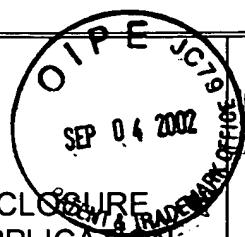
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SUPPLEMENTAL II

INFORMATION DISCLOSURE
CITATION IN AN APPLICATION

Docket No. (optional)

6646-114N7

Applicant

Ronald A. Katz

Filing Date

January 13, 2000

Application No.

09/483,277

Group Art Unit

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|------------|----------------|-------|----------|----------------------------|
| | 4,815,121 | 03/21/1989 | Yoshida | ----- | ----- | ----- |
| | 4,827,500 | 05/02/1989 | Binkerd et al. | ----- | ----- | ----- |
| | 4,893,328 | 01/09/1990 | Peacock | ----- | ----- | ----- |
| | 4,896,345 | 01/23/1990 | Thome | ----- | ----- | ----- |
| | 4,907,079 | 03/06/1990 | Turner et al. | ----- | ----- | ----- |
| | 4,937,853 | 06/26/1990 | Brule et al. | ----- | ----- | ----- |
| | 4,972,461 | 11/20/1990 | Brown et al. | ----- | ----- | ----- |
| | 5,001,710 | 03/19/1991 | Gawrys et al. | ----- | ----- | ----- |
| | 5,003,574 | 03/26/1991 | Denq et al. | ----- | ----- | ----- |
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FOREIGN PATENT DOCUMENTS

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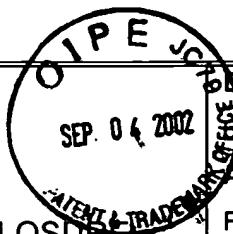
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FORM PTO-1449

SUPPLEMENTAL III

INFORMATION DISCLOSURE
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Docket No. (optional)

2646-114N7

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Ronald A. Katz

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | 3,594,004 | 07/20/1971 | Barr | ----- | ----- | ----- |
| | 3,998,465 | 12/21/1976 | Mascola | ----- | ----- | ----- |
| | 4,121,052 | 10/17/1978 | Richard | ----- | ----- | ----- |
| | 4,586,707 | 05/06/1986 | McNeight et al. | ----- | ----- | ----- |
| | 4,781,377 | 11/01/1988 | McVean et al. | ----- | ----- | ----- |
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|--|-----------------|------------|---------|-------|----------|--------------------|
| | 0 120 322 | 02/27/1984 | EPC | ----- | ----- | No |
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SUPPLEMENTAL IV

INFORMATION DISCLOSURE
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6646-114N7

Applicant

Ronald A. Katz

Filing Date

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|----------|------------------|-------|----------|----------------------------|
| | 2,902,541 | 09/01/59 | Singleton | ----- | ----- | ----- |
| | 3,394,246 | 07/23/68 | Goldman | ----- | ----- | ----- |
| | 3,544,769 | 12/01/70 | Hedin | ----- | ----- | ----- |
| | 3,617,638 | 11/02/71 | Jochimsen et al. | ----- | ----- | ----- |
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| | 3,800,283 | 03/26/74 | Gropper | ----- | ----- | ----- |
| | 3,881,160 | 04/29/75 | Ross | ----- | ----- | ----- |
| | 3,889,050 | 06/10/75 | Thompson | ----- | ----- | ----- |
| | 3,912,874 | 10/14/75 | Botterell et al. | ----- | ----- | ----- |
| | 3,918,174 | 11/11/75 | Miller et al. | ----- | ----- | ----- |

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| | DOCUMENT NUMBER | DATE (Publ. Dates) | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|-----------------|--------------------|----------------|-------|----------|--------------------|
| | OS 2929416 | 02/05/81 | Germany | ----- | ----- | ----- |
| | OS 3726366 | 02/11/88 | Germany | ----- | ----- | ----- |
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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | 4,054,756 | 10/18/77 | Comella et al. | ----- | ----- | ----- |
| | 4,117,278 | 09/26/78 | Ehrlich et al. | ----- | ----- | ----- |
| | 4,162,377 | 07/24/79 | Mearns | ----- | ----- | ----- |
| | 4,191,376 | 03/04/80 | Goldman | ----- | ----- | ----- |
| | 4,241,942 | 12/30/80 | Bachman | ----- | ----- | ----- |
| | 4,242,539 | 12/30/80 | Hashimoto | ----- | ----- | ----- |
| | 4,299,637 | 11/10/81 | Oberdeck et al. | ----- | ----- | ----- |
| | 4,348,554 | 09/07/82 | Asmuth | ----- | ----- | ----- |
| | 4,360,827 | 11/23/82 | Braun | ----- | ----- | ----- |
| | 4,451,087 | 09/10/85 | Comstock | ----- | ----- | ----- |
| | 4,490,583 | 12/25/84 | Bednarz et al. | ----- | ----- | ----- |

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| | DOCUMENT NUMBER | DATE (Publ. Dates) | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
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| | 0 229 170 A | 07/22/87 | EPC | ----- | ----- | ----- |
| | 0 568 114 A | 11/03/93 | EPC | ----- | ----- | ----- |
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| | 500138/88 | 01/14/88 | Japan | ----- | ----- | ----- |
| | 298158/90 | 12/20/90 | Japan | ----- | ----- | ----- |

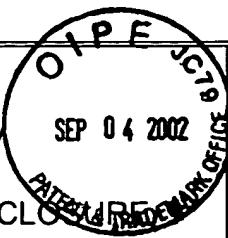
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|------------------|-----------------|----------|----------------|-------|----------|----------------------------|
| | 4,555,594 | 11/26/85 | Friedes et al. | ----- | ----- | ----- |
| | 4,562,342 | 12/31/85 | Solo | ----- | ----- | ----- |
| | 4,567,359 | 01/28/86 | Lockwood | ----- | ----- | ----- |
| | 4,582,956 | 04/15/86 | Doughty | ----- | ----- | ----- |
| | 4,591,190 | 03/27/86 | Clark | ----- | ----- | ----- |
| | 4,614,367 | 09/30/86 | Breen | ----- | ----- | ----- |
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| | 4,663,777 | 05/05/87 | Szeto | ----- | ----- | ----- |
| | 4,671,512 | 06/09/87 | Bachman et al. | ----- | ----- | ----- |
| | 4,677,553 | 06/30/87 | Roberts et al. | ----- | ----- | ----- |
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| | 41855/91 | 02/22/91 | Japan | ----- | ----- | ----- |
| | 2 230 403 A | 10/17/90 | United Kingdom | ----- | ----- | ----- |
| | 2,009,937-2 | 08/21/90 | Canada | ----- | ----- | ----- |

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| | A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50 |
| | "Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43 |
| | "Growth-Oriented Systems," Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51 |

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|------------------|-----------------|----------|-----------------|-------|----------|----------------------------|
| | 4,704,725 | 11/03/87 | Harvey et al. | ----- | ----- | ----- |
| | 4,716,583 | 12/29/87 | Groner et al. | ----- | ----- | ----- |
| | 4,764,666 | 08/16/88 | Bergeron | ----- | ----- | ----- |
| | 4,766,604 | 08/23/88 | Axberg | ----- | ----- | ----- |
| | 4,774,655 | 09/27/88 | Kollin et al. | ----- | ----- | ----- |
| | 4,788,718 | 11/29/88 | McNabb et al. | ----- | ----- | ----- |
| | 4,796,293 | 01/03/89 | Blinken et al. | ----- | ----- | ----- |
| | 4,797,910 | 01/10/89 | Daudelin | ----- | ----- | ----- |
| | 4,842,278 | 06/27/89 | Markowicz | ----- | ----- | ----- |
| | 4,847,890 | 07/11/89 | Solomon et al. | ----- | ----- | ----- |
| | 4,882,473 | 11/21/89 | Bergeron et al. | ----- | ----- | ----- |
| | 4,894,857 | 01/16/90 | Szlam et al. | ----- | ----- | ----- |

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| | 9002131 | 08/21/90 | France | ----- | ----- | ----- |
| | DE 4005365 A1 | 08/23/90 | Germany | ----- | ----- | ----- |
| | WO93/05483 | 03/18/1993 | PCT | ----- | ----- | ----- |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

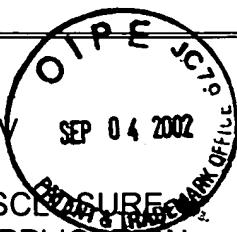
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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | 4,899,375 | 02/06/90 | Bauer et al. | ----- | ----- | ----- |
| | 4,942,598 | 07/17/90 | Davis | ----- | ----- | ----- |
| | 4,964,157 | 10/16/90 | Aoshima | ----- | ----- | ----- |
| | 4,965,825 | 10/23/90 | Harvey et al. | ----- | ----- | ----- |
| | 4,969,185 | 11/06/90 | Dorst et al. | ----- | ----- | ----- |
| | 5,017,917 | 05/21/91 | Fisher et al. | ----- | ----- | ----- |
| | 5,018,736 | 05/28/91 | Pearson et al. | ----- | ----- | ----- |
| | 5,023,904 | 06/11/91 | Kaplan et al. | ----- | ----- | ----- |
| | 5,046,183 | 09/03/91 | Dorst et al. | ----- | ----- | ----- |
| | 5,083,272 | 01/21/92 | Walker et al. | ----- | ----- | ----- |
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| | 5,109,414 | 04/28/92 | Harvey et al. | ----- | ----- | ----- |
| | 5,127,003 | 06/30/92 | Doll, Jr. et al. | ----- | ----- | ----- |

FOREIGN PATENT DOCUMENTS

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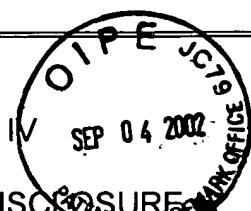
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| | 5,181,238 | 01/19/93 | Medamana et al. | ----- | ----- | ----- |
| | 5,233,654 | 08/03/93 | Harvey et al. | ----- | ----- | ----- |
| | 5,255,183 | 10/19/93 | Katz (Penina) | ----- | ----- | ----- |
| | 5,263,723 | 11/23/93 | Pearson et al. | ----- | ----- | ----- |
| | 5,333,185 | 07/26/94 | Burke et al. | ----- | ----- | ----- |
| | 5,335,277 | 08/02/94 | Harvey et al. | ----- | ----- | ----- |
| | 5,351,276 | 09/27/94 | Doll Jr., et al. | ----- | ----- | ----- |
| | 5,353,335 | 10/04/94 | D'Urso et al. | ----- | ----- | ----- |
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO. 6646-114N7 SERIAL NO. 09/483,277

APPLICANT:
Ronald A. KATZ

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U.S. PATENT DOCUMENTS

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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | CLASS | FILING DATE |
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| | 3,987,252 | 10/1976 | Vicari | ----- | ----- | ----- |
| | 4,068,099 | 01/1978 | Mikkola | ----- | ----- | ----- |
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SUPPLEMENTAL V



LIST OF PATENTS AND OTHER REFERENCES FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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SERIAL NO.
09/483,277APPLICANT:
Ronald A. KATZFILING DATE:
January 13, 2000
GROUP:
2643

U.S. PATENT DOCUMENTS

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| | | 4,640,991 | 02/1987 | Matthews et al. | ----- | ----- | ----- |
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| | | 4,685,127 | 08/1987 | Miller et al. | ----- | ----- | ----- |
| | | 4,747,124 | 05/1988 | Ladd | ----- | ----- | SEP-09 2002 |
| | | 4,759,056 | 07/1988 | Akiyama | ----- | ----- | Techology Center 2600 |
| | | 4,761,808 | 08/1988 | Howard | ----- | ----- | ----- |
| | | 4,791,666 | 12/1988 | Cobb et al. | ----- | ----- | ----- |
| | | 4,797,818 | 01/1989 | Cotter | ----- | ----- | ----- |
| | | 4,805,207 | 02/1989 | McNutt et al. | ----- | ----- | ----- |
| | | 4,878,240 | 10/1989 | Lin et al. | ----- | ----- | ----- |
| | | 4,893,325 | 01/1990 | Pankonen et al. | ----- | ----- | ----- |
| | | 4,896,346 | 1/1990 | Belfield et al. | ----- | ----- | ----- |
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| | | 4,908,852 | 03/1990 | Hird et al. | ----- | ----- | ----- |
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SUPPLEMENTAL V

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INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.
6646-114N7SERIAL NO.
09/483,277APPLICANT:
Ronald A. KATZFILING DATE:
January 13, 2000GROUP:
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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SUPPLEMENTAL VI

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SUPPLEMENTAL VI

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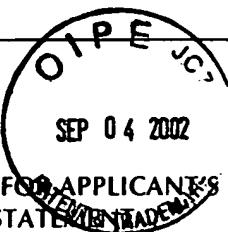
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FORM PTO-1449

SUPPLEMENTAL VII

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT (TRADEMAKS)

(Use several sheets if necessary)

ATTY. DOCKET NO.
6646-114N7SERIAL NO.
09/483,277APPLICANT:
Ronald A. KATZFILING DATE:
January 13, 2000GROUP:
2643

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FORM PTO-1449

SUPPLEMENTAL VII

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (TRADEMAKES)

(Use several sheets if necessary)



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| ATTY. DOCKET NO. 6646-114N7 | SERIAL NO. 09/483,277 |
| APPLICANT: Ronald A. KATZ | |
| FILING DATE: January 13, 2000 | GROUP: 2643 |

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FORM PTO-1449

SUPPLEMENTAL VIII

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

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SC10ATTY. DOCKET NO.
6646-114N7SERIAL NO.
09/483,277APPLICANT:
Ronald A. KATZFILING DATE:
January 13, 2000GROUP:
2643**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES NO |
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| FORM PTO-1449 SUPPLEMENT IX | ATTY. DOCKET NO. 6646-114N7 | SERIAL NO. 09/483,277 |
| LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | APPLICANT: Ronald A. KATZ | |
| (Use several sheets if necessary) | FILING DATE: January 13, 2000 | GROUP: 2643 |

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SUPPLEMENTAL X

SEP 04 2002

~~LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT~~

(Use several sheets if necessary)

ATTY. DOCKET NO.
6646-114N7SERIAL NO.
09/483,277APPLICANT:
Ronald A. KATZFILING DATE:
January 13, 2000GROUP:
2643

U.S. PATENT DOCUMENTS

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SUPPLEMENTAL XI

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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6646-114N7 SERIAL NO.
09/483,277APPLICANT:
Ronald A. KATZFILING DATE:
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U.S. PATENT DOCUMENTS

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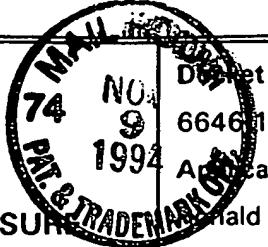
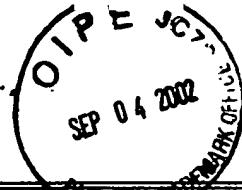
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FORM PTO-1449

SET I

**INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION**

Docket No. (optional)

66461114N5

Applicant

Mald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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9-2-95

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| FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 RECEIVED SEP 09 2002 | | | |
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| U.S. PATENT DOCUMENTS Technology Center 2600 | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| EXAMINER | <i>SW</i> | | | DATE CONSIDERED <i>9-2-95</i> | | |
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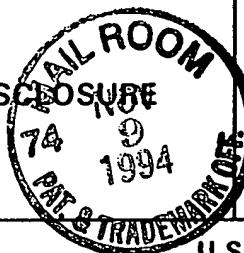


| FORM PTO-1449 SET I INFORMATION DISCLOSED CITATION IN AN APPLICATION | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 | | |
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| 3. PATENT DOCUMENTS | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| FOREIGN PATENT DOCUMENTS | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | |
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| EXAMINER | <i>SW</i> | | DATE CONSIDERED 9-2-95 | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | |



FORM PTO-1449

SET I

**INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION**


Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

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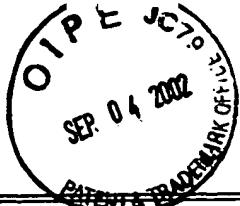
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| <p style="text-align: center;">MAILED 9/15/95 74 NOV 9 1994</p> <p style="text-align: center;">U.S. PATENT DOCUMENTS</p> <table border="1"> <thead> <tr> <th>EXAMINER INITIAL</th> <th>DOCUMENT NUMBER</th> <th>DATE</th> <th>NAME</th> <th>CLASS</th> <th>SUBCLASS</th> <th>FILING DATE IF APPROPRIATE</th> </tr> </thead> <tbody> <tr><td><i>SM</i></td><td>4,012,599</td><td>03/16/1977</td><td>Meyer</td><td></td><td></td><td></td></tr> <tr><td></td><td>4,024,345</td><td>05/17/1977</td><td>Kochem</td><td></td><td></td><td>RECEIVED</td></tr> <tr><td></td><td>4,088,838</td><td>05/09/1978</td><td>Nakata et al.</td><td></td><td></td><td>SEP 09 2002</td></tr> <tr><td></td><td>4,108,361</td><td>08/22/1978</td><td>Krause</td><td></td><td></td><td></td></tr> <tr><td></td><td>4,150,255</td><td>04/17/1979</td><td>Theis et al.</td><td></td><td></td><td>Technology Center 2600</td></tr> <tr><td></td><td>4,152,547</td><td>05/01/1979</td><td>Theis</td><td></td><td></td><td></td></tr> <tr><td></td><td>4,160,125</td><td>07/03/1979</td><td>Bower et al.</td><td></td><td></td><td></td></tr> <tr><td></td><td>4,187,498</td><td>02/05/1980</td><td>Creekmore</td><td></td><td></td><td></td></tr> <tr><td></td><td>4,200,770</td><td>04/29/1980</td><td>Hellman et al.</td><td></td><td></td><td></td></tr> <tr><td><i>SM</i></td><td>4,201,887</td><td>05/06/1980</td><td>Burns</td><td></td><td></td><td></td></tr> </tbody> </table> <p style="text-align: center;">FOREIGN PATENT DOCUMENTS</p> <table border="1"> <thead> <tr> <th></th> <th>DOCUMENT NUMBER</th> <th>DATE</th> <th>COUNTRY</th> <th>CLASS</th> <th>SUBCLASS</th> <th>TRANSLATION YES NO</th> </tr> </thead> <tbody> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> </tbody> </table> <p style="text-align: center;">OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</p> <table border="1"> <tbody> <tr><td><i>SM</i></td><td>Rabiner, L.R., et al., "Computer Synthesis of Speech by Concatenation of Formant-Coded Words", <u>The Bell System Technical Journal</u>, May/June 1971, pp. 1541-1558 - (Chapter from a Book)</td></tr> <tr><td></td><td>Flanagan, J.L., et al., "Wiring Telephone Apparatus from Computer-Generated Speech", <u>The Bell System Technical Journal</u>, Feb. 1972, pp. 391-397 - (Chapter from a Book)</td></tr> <tr><td></td><td>Hornsby, Jr., Thomas G., "Voice Response Systems", <u>Modern Data</u>, Nov. 1972, pp. 46-50 - (Article)</td></tr> <tr><td></td><td>Diffie, W., et al., "New Directions in Cryptography", <u>IEEE Transactions On Information Theory</u>, Nov. 1976, Vol. IT-22, No. 6, pp. 644-654 - (Article)</td></tr> <tr><td></td><td>Rosenthal, L.H., et al., "Automatic voice response: interfacing man with machine", <u>IEEE Spectrum</u>, July 1974, Vol. 11, No. 7 - (Article)</td></tr> <tr><td><i>SM</i></td><td>Rosenthal, L.H., et al., "A Multiline Computer Voice Response System Utilizing ADPCM Coded Speech", <u>IEEE Transactions on Acoustics, Speech, and Signal Processing</u>, Oct. 1974, Vol. ASSP-22, No. 5, pp. 339-352 - (Article)</td></tr> </tbody> </table> <table border="1"> <tr> <td>EXAMINER</td> <td><i>SM</i></td> <td>DATE CONSIDERED 9-2-95</td> </tr> <tr> <td colspan="3">EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. 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| FORM PTO-1445 SUPPLEMENTAL I | | Docket No. (optional) 6646-114N5 | Application No. 08/306,456 |
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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Applicant Ronald A. Katz | Group Art Unit 2608 |
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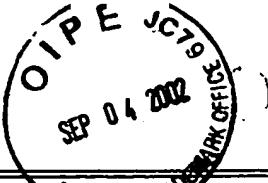
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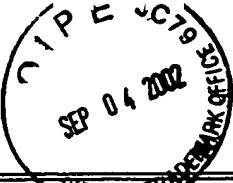
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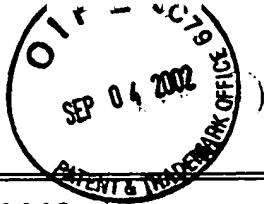
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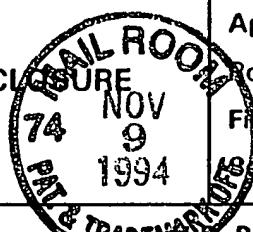
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6646-114N5

Applicant

Donald A. Katz

Filing Date

(September 14, 1994)

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08/306,456

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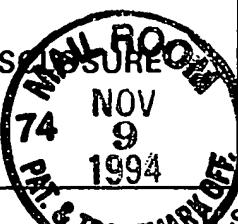
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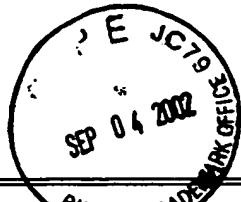
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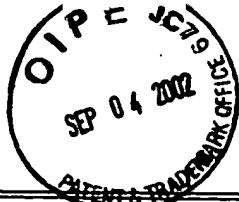
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| <i>S</i> | <ul style="list-style-type: none"> "Dream Weaver", Jon Lindy, Aug. 1986, pp. 32-35, 37 - (Article) "Turn any telephone into a complete electronic message service", Voicemail - (Brochure) Pages from Company Brochure, Televoice International, Inc. "VMI Big Talker", Voicemail International, Inc. - (Newsletter) "Newsline", Voicemail International, Inc., Oct. 1984 and Nov. 1984, "Voiceletter No. 1", Voicemail International, Inc., Dec. 1985 "A New, More Productive Way to Use the Telephone", Voicemail International, Inc. - (Brochure) "While You Were Out..." - (Brochure) "For People Who Can't Afford To Miss Messages", Voicemail International, Inc. - (Brochure) "Voicemail The electronic news service saves time, money and nerves", Radio-Suisse Ltd., (Voicemail Agent for Europe) - (Brochure) "Are You Being Robbed of Your Time ...?", Voicemail International, Inc. - (Brochure) "Voicemail Instruction Manual B - 85", Televoice International, Nov. 1980 - (Manual) "Local Telephone Numbers" (for Voicemail) and "Televoice Is As Easy As 1, 2, 3!", Televoice International - (Manual) "Voicemail Instruction Manual C - 25", Televoice International, June 1981 - (Manual) "Telephone Numbers" (for Voicemail) and "How To Use Voicemail", Televoice International - (Manual) "Message Receiving/Sending" (and others), Voicemail International, Inc. - (Manual) "You Can Use Voicemail To Send And Receive Messages At Anytime Anywhere In The World", Voicemail International, Inc., 1981 - (Brochure) "Advanced User Guide", Voicemail International, Inc. - (Manual) "Voicemail's Basic User's Guide", Voicemail International, Inc. - (Manual) "Welcome To Dowphone", Dowphone, Jan. 1986 - (Manual) | | | | | |
| EXAMINER <i>S</i> | | | | DATE CONSIDERED <i>9-2-95</i> | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |



| FORM PTO-1449 SUPPLEMENTAL I INFORMATION DISCLOSURE/CITATION IN AN APPLICATION | | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 | | |
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| U.S. PATENT DOCUMENTS | | | | | | |
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| <i>S</i> | "Telephone 1-800 Check-PDR", <u>Officers of Medical Economics Company, Inc.</u> , 1986 - (Circulation/Brochure) | | | | | |
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| | "Stockquote Hotline", <u>Norwest Brokerage Services</u> - (Brochure) "All You Need To Get The Stock Quotes And News You Want." <u>Dowphone</u> , 1984 - (Advertisement) | | | | | |
| <i>S</i> | "The Most Respected Name In Telemarketing", <u>West Interactive Corporation</u> - (2 Brochures) | | | | | |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |



| FORM PTO-1445 SUPPLEMENTAL - II INFORMATION DISCLOSED CITATION IN AN APPLICATION | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 | | | |
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| | Demeautis, M., et al., "The TV 200 A Transactional Telephone", <u>Commutation & Transmission n° 5</u> , 1985, pp. 71-82. - (Article) | | | | | |
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FORM PTO-1449
SUPPLEMENTAL - II
**INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION**

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

U.S. PATENT DOCUMENTS

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| S | 4,090,038 | 05/16/1978 | Biggs | | | |
| | 4,145,578 | 03/20/1979 | Orriss | | | |
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| FORM PTO-1449 SUPPLEMENTAL - II INFORMATION DISCUSSED CITATION IN AN APPLICATION | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 | | | |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |



| FORM PTO-144 SUPPLEMENTAL - II INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 | | | |
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| <i>Sm</i> | 4,658,417 | 04/14/1987 Hashimoto et al. | | | | |
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| FORM PTO-1449 SUPPLEMENTAL - II INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 | | | |
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| <i>Gu</i> | 4,815,121 | 03/21/1989 | Yoshida | | | |
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| FORM PTO-1449 SUPPLEMENTAL III INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994 | | Application No. 08/306,456 Group Art Unit 2608 | | |
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| <i>sw</i> | 0 120 322 | 02/27/1984 | EPC | | | No |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |

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| FORM PTO JUP IV INFORMATION DISCLOSURE CITATION IN AN APPLICATION | Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 |
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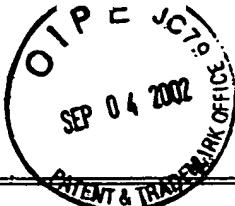
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| SW | OS 2929416 | 02/05/81 | Germany | | | |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.



| FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) | Application No. 08/306,456 Group Art Unit 2608 | | | |
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| <i>SW</i> | 4,054,756 | 10/18/77 | Comella et al. | | | |
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| FORM PTO-144 INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) | Application No. 08/306,456 | | | |
|---|---|--|-------------------------------|-------------------------|----------|----------------------------|
| | | Applicant Ronald A. Katz | Group Art Unit 2608 | | | |
| | | Filing Date September 14, 1994 | DEC -5 96 GROUP 2600 | | | |
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| | 4,591,190 | 03/27/86 | Clark | | 7 | |
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| | 4,671,512 | 06/09/87 | Bachman et al. | | 7 | |
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| EXAMINER | <i>SM</i> | | | DATE CONSIDERED 2-14-97 | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |



| FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) | Application No. 08/306,456 Group Art Unit 2608 | | |
|---|-----------------|--|---|-------|----------|
| RECEIVED DEC -5 96 GROUP 2608 | | | | | |
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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS |
| <i>SV</i> | 4,704,725 | 11/03/87 | Harvey et al. | | |
| | 4,716,583 | 12/29/87 | Groner et al. | | |
| | 4,764,666 | 08/16/88 | Bergeron | | |
| | 4,766,604 | 08/23/88 | Axberg | | |
| | 4,774,655 | 09/27/88 | Kollin et al. | | |
| | 4,788,718 | 11/29/88 | McNabb et al. | | |
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| | 4,842,278 | 06/27/89 | Markowicz | | |
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| | 4,894,857 | 01/16/90 | Szlam et al. | | |
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| <i>SV</i> | 9002131 | 08/21/90 | France | | |
| <i>SV</i> | DE 4005365 A1 | 08/23/90 | Germany | | |
| <i>SV</i> | W09305483 | 03/18/1993 | PCT | | |
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| EXAMINER | <i>SV</i> | | DATE CONSIDERED 2-14-97 | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | |



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| FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION | Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 RECEIVED DEC -5 96 GROUP 2600 |
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| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
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| EXAMINER | SJ | DATE CONSIDERED | 2-14-97 |
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| FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION | Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994 | Application No. 08/306,456 Group Art Unit 2608 |
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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| EXAMINER <i>SR</i> | DATE CONSIDERED 2-14-97 |
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.
228/046
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08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

U.S. PATENT DOCUMENTS

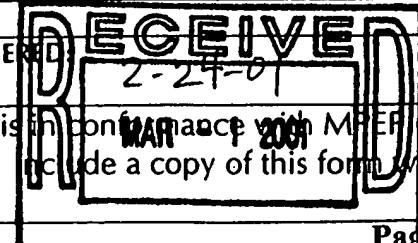
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
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08/306,456

APPLICANT:
Ronald A. Katz

FILING DATE:
September 14, 1994

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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| | 4,672,660 | 06/1987 | Curtin | ----- | ----- | ----- |
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| | 5,299,260 | 03/1994 | Shaio | ----- | ----- | ----- |
| <i>Sw</i> | 5,303,298 | 04/1994 | Morganstein | ----- | ----- | ----- |

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ATTY. DOCKET NO.
228/046
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08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
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| S | | 5,303,299 | 04/1994 | Hunt et al. | ----- | ----- | ----- |
| | | 5,354,069 | 10/1994 | Guttman et al. | ----- | ----- | ----- |
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|------------------|--|-----------------|---------|---------|-------------|-----------|--------------------|
| | | 0 249 795 | 12/1987 | EPC | ----- | ----- | No |
| | | 2 575 016 | 07/1986 | France | ----- | ----- | No |
| | | WO 82/02132 | 06/1982 | PCT | SEP 09 2002 | ----- | yes |

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| | "All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691) |
| | "New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699) |
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| | Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151) |
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| FORM PTO-1449 SUPPLEMENT V | ATTY. DOCKET NO. 228/046 (prev. 6646-114N5) | SERIAL NO. 08/306,456 |
| LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | |
| (Use several sheets if necessary) | APPLICANT: Ronald A. Katz | FILING DATE: September 14, 1994 |
| | | GROUP: 2643 |

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SUPPLEMENTAL VA



LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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228/046
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08/306,456 ✓APPLICANT:
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| Cr | | 3,553,378 | 01/1971 | Solomon, et al. | ----- | ----- | ----- |
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| Sw | | 5,181,236 | 01/1993 | LaVallee, et al. | ----- | ----- | ----- |

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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|------------------|-----------------|---------|---------------|-------|-----------|--------------------|
| | 1162484 | 04/1967 | Great Britain | ----- | ----- | Yes |
| | WO 89/11768 | 11/1989 | PCT | ----- | ----- | Yes |
| | WO 90/10989 | 09/1990 | PCT | ----- | ----- | Yes |
| | WO 90/11661 | 10/1990 | PCT | ----- | ----- | Yes |
| | WO 91/15818 | 10/1991 | PCT | ----- | ----- | Yes |
| SW | WO 92/06548 | 04/1992 | PCT | ----- | ----- | Yes |

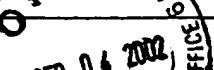
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| <p>FORM PTO-1449</p> <p>SUPPLEMENT VA</p> <p>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> <p>(Use several sheets if necessary)</p> |  <p>SEP 04 2002 U.S. PATENT AND TRADEMARK OFFICE</p> | ATTY. DOCKET NO. 228/046 (prev. 6646-114NS) | SERIAL NO. 08/306,456 |
| | | APPLICANT: Ronald A. Katz | |
| | | FILING DATE: September 14, 1994 | GROUP: 2643 |

FOREIGN PATENT DOCUMENTS

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SUPPLEMENTAL VI

ATTY. DOCKET NO.
228/0461
(prev. 6646-114N5)SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
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228/046!
(prev. 6646-114N5)SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

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| | 2 252 270 B | 08/1992 | Great Britain | ----- | ----- | yes |
| | 0 217 308.A2 | 04/08/87 | Europe | ----- | ----- | yes |
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| | 246067/1988 | 10/13/88 | Japan | ----- | ----- | No |
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| | 62-92654 | 04/28/87 | Japan | ----- | ----- | No |
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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2643**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

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| | | Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings |
| | | Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings |
| | | A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified. |
| | | A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown. |
| | | An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc. |
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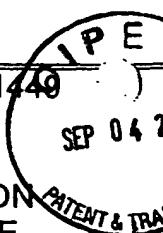
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| | | Applicant Ronald A. Katz | Group Art Unit 2643 | | |
| | | Filing Date 09/14/1994 | RECEIVED SEP 09 2002 Technology Center 2600 | | |
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FORM PTO-1449



**INFORMATION
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Docket No. (optional)

228/046
Rev. 6646-114N5)

Application No.

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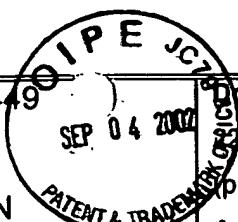
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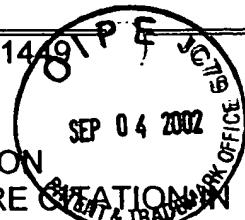
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FORM PTO-1449


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228/046
(prev. 6646-114N5)

Applicant

Ronald A. Katz
Filing Date
09/14/1994

Application No.

08/306,456
Group Art Unit
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FORM PTO-1449

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PATENT TRADESINFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

SUPPLEMENTAL VII

Docket No. (optional)

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

2643

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| | | Applicant Ronald A. Katz | Group Art Unit 2643 | | | |
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(prev. 6646-114N5)

Applicant

Ronald A. Katz

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FORM PTO-1449

SEP 04 2002

INFORMATION
DISCLOSURE STATEMENT
IN AN APPLICATION

SUPPLEMENTAL VII

Docket No. (optional)

228/046
(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

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| | | Applicant Ronald A. Katz | | RECEIVED SEP 09 2002 Technology Center 2600 | | |
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Group Art Unit

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SUPPLEMENTAL VII

Applicant

Ronald A. Katz

Filing Date

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FORM PTO-1449

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INFORMATION
DISCLOSURE CITATION IN
AN APPLICATION

SUPPLEMENTAL VII

Docket No. (optional)

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

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FORM PTO-1449

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INFORMATION
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AN APPLICATION

SUPPLEMENTAL VII

Docket No. (optional)

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

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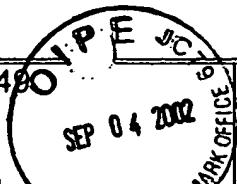
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Applicant

Ronald A. Katz

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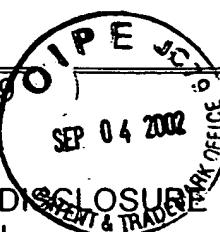
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SUPPLEMENTAL VIII

O I P E
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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ATTY. DO. O.
228/046 (6646-1) NS SERIAL NO.
08/306,456

APPLICANT:
Ronald A. Katz

FILING DATE:
September 14, 1994

GROUP:
2643

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EXAMINER: S. Woo

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6-11-01

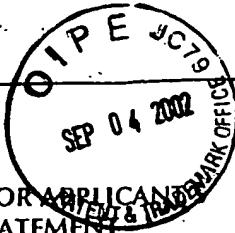
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~~ORI. PTO-1449~~
~~SUPPLEMENTAL IX~~

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT
INFORMATION DISCLOSURE STATEMENT**

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ATTY. DO)
228/046 (6646-114N5)

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SERIAL NO.
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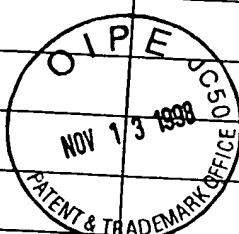
APPLICANT:
Ronald A. Katz

FILING DATE:
September 14, 1994

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2743

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|------------------|-----------------|------|------|-------|-----------|-------------|
| AA | | | | | | |
| AB | | | | | | |
| AC | | | | | | |
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|---------------|-----------------|------|---------|-------|-----------|--------------------|
| AI | | | | | | |
| AJ | | | | | | |
| AK | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| AL | Basinger, R. G., et al., "Calling Card Service - Overall Description and Operational Characteristics", The Bell System Technical Journal, September, 1982 |
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| AP | Lexis Search, 01/28/85, re: Rolm Releases Four-Channel Phonemail Voice Message Unit |

EXAMINER: S. Woo

8hto

DATE CONSIDERED:

5-21-00

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw rough citation if not in conformance and not considered. Include a copy of this form with next indication to applicant